

UC Riverside, School of Medicine Policies and Procedures**Policy Title:** Resident Reporting of Concerns**Policy Number:** 950-09-016

Responsible Officer:	Associate Dean for Graduate Medical Education (DIO)
Responsible Office:	Graduate Medical Education
Origination Date:	(11/2019)
Date of Revision:	
Scope:	UCR SOM Graduate Medical Education

I. Policy Summary

The purpose of this policy is to describe the resources and avenues available to Residents for resolution of their concerns.

It is the policy of UCR School of Medicine to foster good communication between Residents and Program Directors and to ensure that all parties receive fair and equitable treatment. Resident-faculty communication shall be participatory, collegial and open-ended. This Policy is *not intended* to cover concerns related to Harassment (Policy 950-09-008) or Academic Actions (Policy 950-09-001).

II. Definitions

Resident Concern: Any issue or question that a Resident may have related to the performance of their duties in the Program, or otherwise potentially affecting their participation in the program.

III. Policy

In order to foster a culture of compliance, the UCR School of Medicine encourages Residents to freely express their concerns and provides numerous mechanisms for Residents to report those concerns. A concern shall not be used to challenge rule, policy or procedure established by an authorized faculty or administrative body.

IV. Responsibilities

Program Directors, Department Chairs and DIO and any member of management.

V. Procedures

The following are sources available to Residents for the resolution of concerns:

- A. Resident concerns can be discussed with the Program Director or DIO.
- B. The Office of the Ombuds is available for confidential consultation at (951)827-3213 or on line at ombuds.ucr.edu
- C. Any concern may be brought confidentially to the UCR SOM Compliance Advisory Services Department. (951) 827-3257 or somcompliance@medsch.ucr.edu
- D. Calls can be made to the Compliance Hotline, which is available 24/7. Callers may choose to remain anonymous. (800) 403-4744 or online via the EthicsPoint reporting portal located at <https://secure.ethicspoint.com/domain/media/en/gui/23531/index.html>
- E. Concerns may be expressed to the UCR SOM Human Resources Department at human.resources@medsch.ucr.edu

- F. Issues related to Sexual Violence/ Sexual Harassment (Title IX) as well as Equal Employment and Affirmative Action (EE/AA) should be reported to the Title IX/ EE Office at 951-827-7070.
- G. Residents can email questions or concerns to the Title IX Office at: titleix@ucr.edu
- H. Residents can email questions or concerns to the EE/AA Office at: affirmativeaction@ucr.edu
- I. The Dean of the School of Medicine provides time each week to be available to meet with anyone, including Residents, individually. Contact the Dean's Office at 951-827-4564 for scheduling. The Dean also holds periodic open forums and table talk lunches, which are open to Residents.

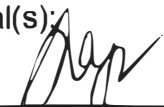
The University encourages Residents to ask questions and raise concerns in order to promote an organizational culture of compliance. The UCR School of Medicine supports open discussion of ethical and legal questions and concerns regarding compliance issues and will not tolerate retaliation against any individual who, in good faith, raises questions or reports suspected violations.

VI. Related Information

Academic Actions Policy 950-09-001
Harassment and Reporting Procedures 950-09-008
UC Sexual Violence and Sexual Harassment Policy and Procedure
<https://policy.ucop.edu/doc/4000385/SVSH>

VII. Revision History :


Approval(s):



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12-13-19

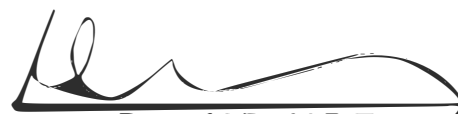
DATE



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